Smoking Cessation Leadership Center



University of California San Francisco

Improving Tobacco Cessation with Adult Inpatient Psychiatric Clients

National Association of State Mental Health Program Directors Research Institute (NRI): Glorimar Ortiz, PhD Missy Rand, LPC, CSAC Lucille Schacht, PhD, CPHQ

May 19, 2021

Moderator

Catherine Saucedo

Deputy Director

Smoking Cessation Leadership Center University of California, San Francisco

A National Center of Excellence for Tobacco-Free Recovery

Catherine.Saucedo@ucsf.edu





Disclosures

This UCSF CME activity was planned and developed to uphold academic standards to ensure balance, independence, objectivity, and scientific rigor; adhere to requirements to protect health information under the Health Insurance Portability and Accountability Act of 1996 (HIPAA); and include a mechanism to inform learners when unapproved or unlabeled uses of therapeutic products or agents are discussed or referenced.

The following faculty speakers, moderators, and planning committee members have disclosed they have no financial interest/arrangement or affiliation with any commercial companies who have provided products or services relating to their presentation(s) or commercial support for this continuing medical education activity:

Anita Browning, Christine Cheng, Brian Clark, Jennifer Matekuare, Glorimar Ortiz, PhD, Ma Krisanta Pamatmat, MPH, Missy Rand, LPC, CSAC, Jessica Safier, MA, Catherine Saucedo, Lucille Schacht, PhD, CPHQ, Steven A. Schroeder, MD, and Aria Yow, MA.

Thank you to our funders





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- Use the 'ASK A QUESTION' box to send questions at any time to the presenter.



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- CDC Tips Campaign 2021 celebrating 10 years!
- SCLC will partner with the CDC to promote 1 800 QUIT NOW through new ads as well as some former favorites



I COVID QUIT!

Launched March 31



- SCLC's own campaign funded by Robert Wood Johnson Foundation
- Real people sharing their UNSCRIPTED experiences of improved mental health after quitting smoking—and they did it during the COVID-19 pandemic!

 FREE videos, digital images and toolkit for your use at ICOVIDQUIT.org



Today's Presenter

Lucille Schacht, PhD, CPHQ

Senior Director Performance and Quality Improvement

National Association of State Mental Health Program Directors Research Institute (NRI)





Today's Presenter

Glorimar Ortiz, PhD

Principal Biostatistician

National Association of State Mental Health Program Directors Research Institute (NRI)





Today's Presenter

Missy Rand, LPC, CSAC

Clinical Quality Educator

National Association of State Mental Health Program Directors Research Institute (NRI)









IMPROVING TOBACCO CESSATION WITH ADULT INPATIENT PSYCHIATRIC CLIENTS

Glorimar Ortiz, PhD, NRI Principal BiostatisticianMissy Rand, LPC, CSAC, NRI Clinical Quality EducatorLucille Schacht, PhD, CPHQ, NRI Senior Director Performance and Quality Improvement

May 2021



TOBACCO CESSATION PROJECT

- A NRI series focused on improving access to tobacco cessation recovery for persons in psychiatric hospitals.
 - Clinical Actions
 - Change Management
 - Comparisons/Benchmarking

http://www.nri-inc.org/focus-areas/performance-measurement/clinicaloversight/tobacco-cessation/



Funding support for this project was provided by the Smoking Cessation Leadership Center



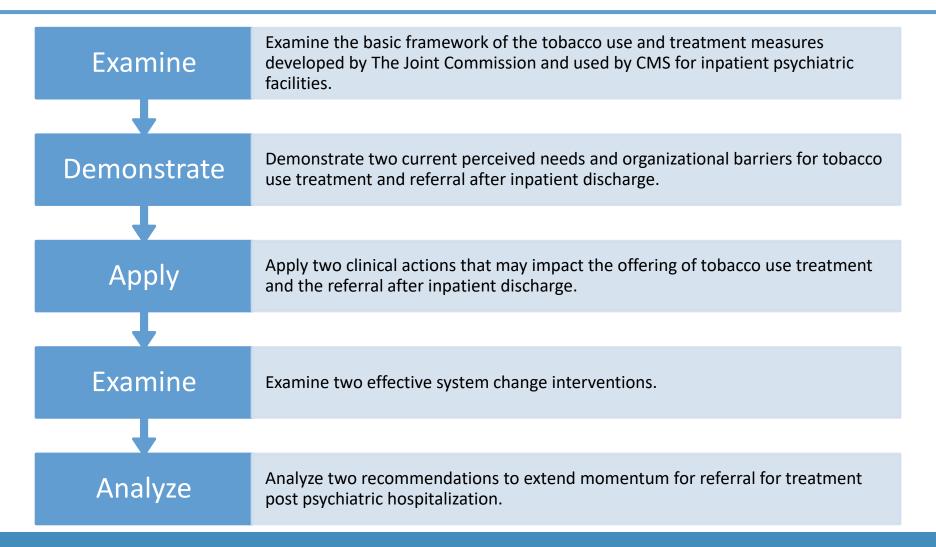
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WEBINAR OBJECTIVES:



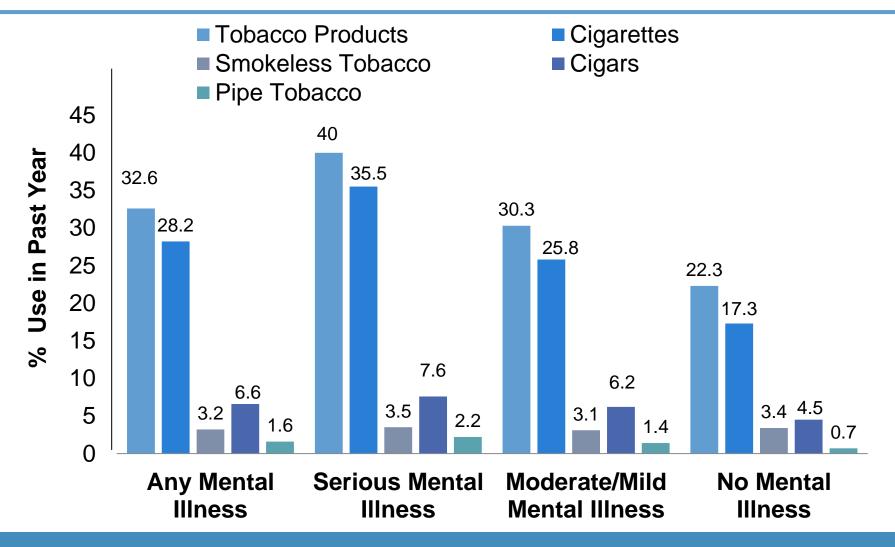


WHY FOCUS ON TOBACCO USE?

- Adults with behavioral health conditions represent 25% of the U.S. population but account for 40% of all cigarettes smoked in the U.S.
- Tobacco contributes to more deaths than the primary behavioral health disorder McGinty 2012
- The most effective treatment for TUD is a combination of behavioral counseling and use of medication(s) DSM-5
- About 70% of individuals with mental health disorders are interested in quitting – the same as the general population CDC: MMRW Jan 2017
- Without treatment, only 3-6% of all smokers are able to quit on their own. CDC: MMRW Jan 2017



NATIONAL SURVEY ON DRUG USE AND HEALTH, 2018



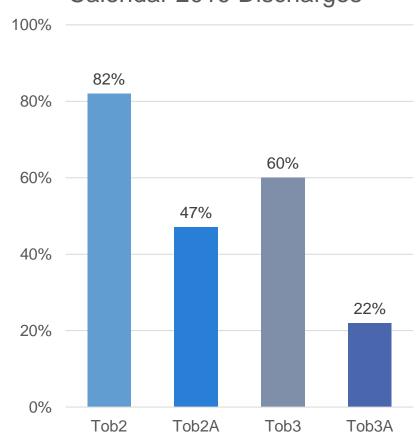


- Developed by The Joint Commission
- Used by Inpatient Psychiatric Facilities in their reporting of required quality measures to the Centers for Medicare & Medicaid Services
- Measures look at the continuum of care from inpatient screening, to inpatient treatment, to referral for outpatient treatment



TOBACCO MEASURES – CURRENT DATA

- TOB1- Screen for Tobacco Use required reporting Jan 2015 thru Dec 2017. Screen for current tobacco use within one day after admission, identify type and volume of use.
 - Measure discontinued because performance was at least 95% for many providers
- TOB2/2A Tobacco use treatment offered or provided – required reporting Jan 2015 to present.
 Treatment includes "Practical Counseling" and FDAapproved medications when appropriate.
- TOB3/3A Tobacco use treatment offered or provided at discharge – required reporting Jan 2016 to present. Treatment includes evidencebased outpatient counseling and FDA-approved medication when appropriate.



Calendar 2019 Discharges





SURVEY OF PSYCHIATRIC FACILITIES



Glorimar Ortiz, PhD Principal Biostatistician Gortiz@nri-inc.org



- Epidemiological Background
- Compared to people in the general population, people with mental illness:
 - Are more likely to use tobacco (CDC, 2013; Jamal et al., 2014)
 - Are more likely to smoke more heavily (Szarkowski et al., 2015)
 - Are 2-4 times more likely to be tobacco dependent (Chou et al, 2016; Ruther et al. 2014)
 - Experience morbidity and mortality at 2-2.5 times greater rate (Blackwell et al., 2014; WHO, 2017)
 - Have lower tobacco use cessation rates (Gildody et al., 2019)
- NRI study found that tobacco use among individuals with mental illness served in a SMHA was a significant predictor of early mortality accounting for nearly 4 years lost (Ortiz, 2020).

YPLL = 8.49 + 6.78(Never married) + 5.44(Black) + **3.85(Tobacco user**) + 2.25(Female)



3 imperative aspects related to tobacco use cessation in hospitalized patients:

- 1. Screening for tobacco use (Tob-1)
- ✓ Initial practice for appropriate substance use/abuse diagnosis
- ✓ Has been successfully achieved by psychiatric hospitals
- 2. Offering active treatment (Tob-2/2a)
- 3. Referral at discharge (Tob-3/3a)



✓ Designed using

- 1- NRI's Smoking Cessation Policies and Practices survey
- 2- Guidelines for Treatment of Smoking in Hospitalized Patients (Jimenez Ruiz et al., 2017)
- 3- Feedback from NRI's Clinical Educator (Missy)
- ✓ Contains 52 questions that collect information about the:
 - ✓ facility demographics
 - ✓ current tobacco use policy
 - ✓tobacco use assessment protocol
 - ✓ tobacco use treatment in hospitalized smokers that included diagnostics and therapeutic interventions, and
 - ✓ referral at discharge





- Tobacco use was defined as using a legalized form of tobacco in any form (e.g. cigarette, cigar, chewing, or pipe) regardless of the age of the client.
- Light tobacco use: The person smokes <4 cigarettes or <1/4 pack a day and/or uses smokeless tobacco and/or smokes cigarettes/pipes but not daily.
- Heavy tobacco use: The person smokes 5 or more cigarettes or >1/4 pack per day and/or cigars/pipes daily.
- > Active treatment: includes counseling and pharmacological intervention.
- Practical counseling: Face-to-face interaction with the patients to address all of the following: recognizing danger situations, developing coping skills, and providing basic information about quitting.



✓ Investigate **staff needs** and **organizational barriers** to:

1 - offer active treatment for tobacco cessation

2 - refer patients for tobacco use cessation treatment after discharge

✓ Survey period: July 21 – August 21, 2020

✓165 facilities surveyed

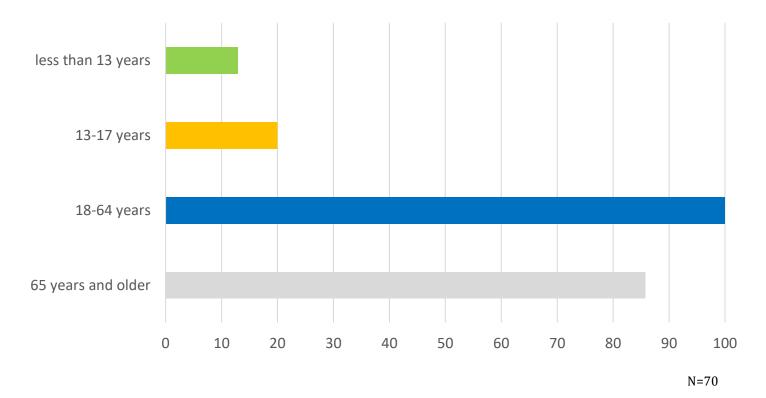
✓108 surveys received

✓70 facilities responded

✓42% response rate

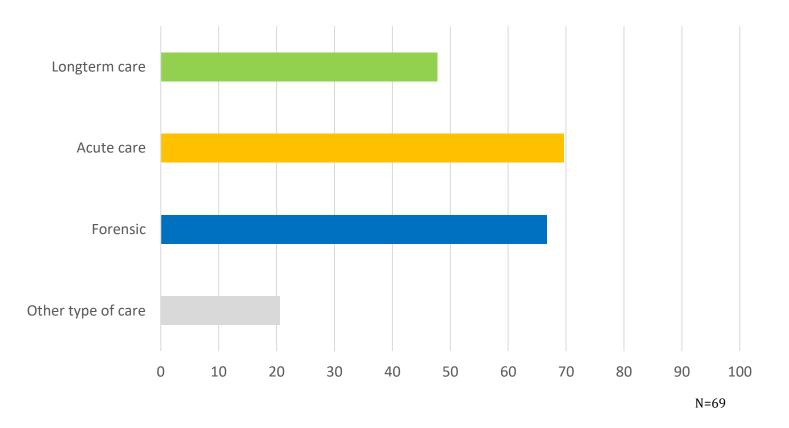


What age group does the facility serve?



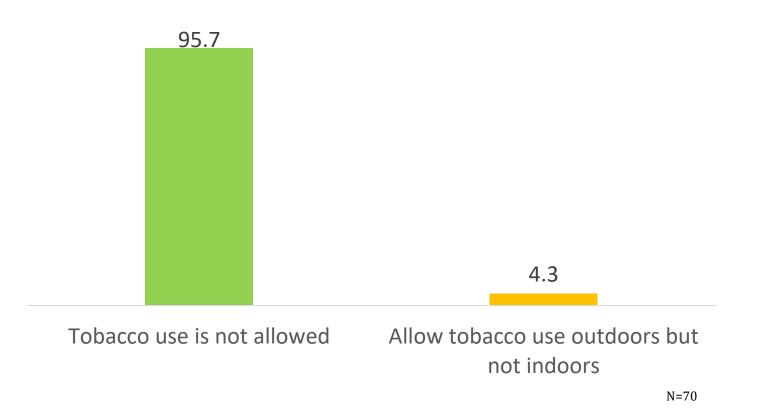


What level of care service is provided at the facility level?





What is the facility's <u>CURRENT</u> tobacco use policy?





What did staff say they need to offer active treatment for tobacco cessation?



Educational & training materials:

success stories, coping skills, how addictive nicotine is, pharmacotherapy and interaction with psychiatric disorders and their treatment, evidenced-based tobacco use cessation programs, treatment intervention templates, training about change in staff behavior and attitude towards tobacco use

Time

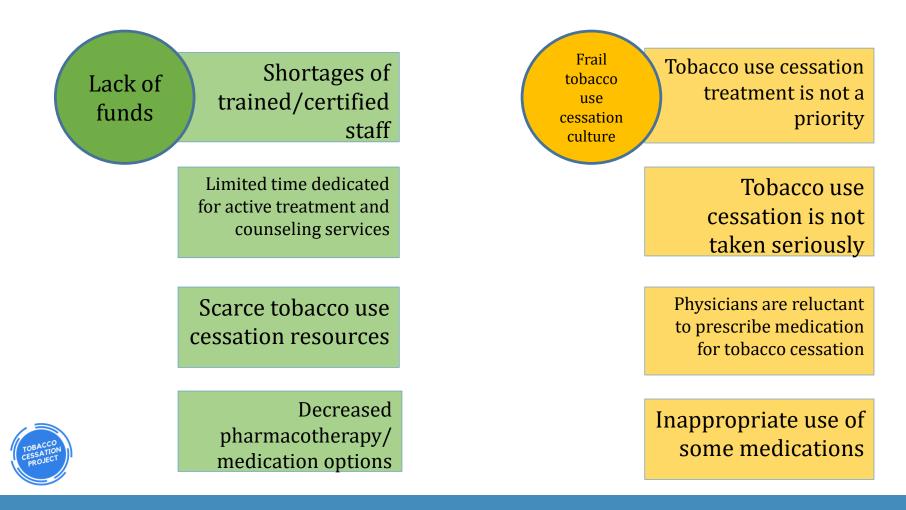
People:

certified staff, tobacco cessation counselors, substance use disorder specialists

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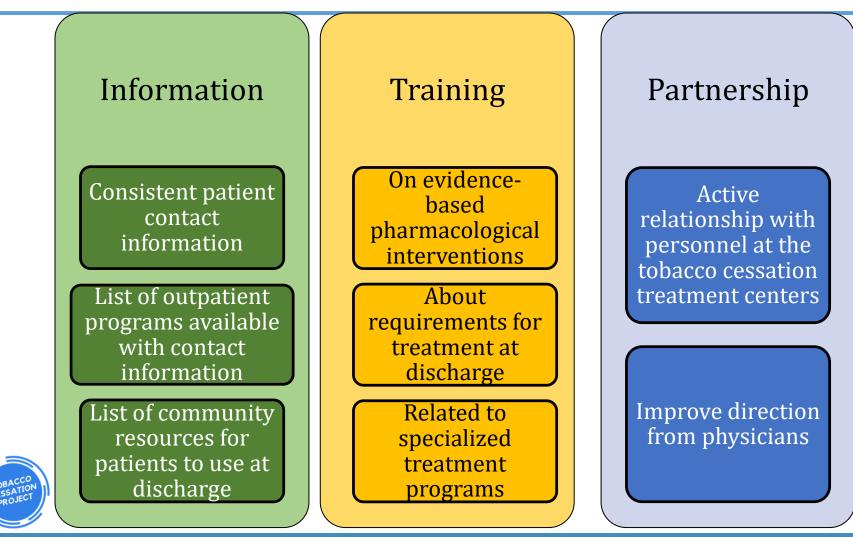


What did staff say are organizational barriers to offering active treatment for tobacco cessation?





What did staff say they need to refer patients for tobacco cessation treatment after discharge?





What did staff say are organizational barriers to referring patients for tobacco cessation treatment after discharge?

Patient Level

In-patient Level

* (Perceived) Resistance to quit

* (Perceived) Lack of interest/motivation

*No reliable contact information at discharge

*Confidentiality issues: unable to refer



*Staff: Not trained/enough staff

*Time: Not enough time to make referrals

*Costs: Not enough funds to cover required training costs

Out-patient Level

*No or limited community resources

*Scarce specialized treatments for tobacco cessation

*Lack of appropriate programs

*Quitlines are not interactive



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CLINICAL ACTIONS



Missy Rand, LPC, CSAC Clinical Quality Educator mrand@nri-inc.org



WHAT IS THE GOLDEN THREAD?





TARGETING ALL LAYERS OF STAFF

- Survey suggests that Prescribers (Psychiatrists/PA/NP/MD) are the most common professional level used for screening, practical counseling, and interventions (more than 2/3 of facilities reported using Prescribers)
- Nurses are used in more than half of facilities for practical counseling, and almost 2/3 of facilities for screening and other interventions
- Prescribers and Nurses are then supplemented by licensed mental health provider/social worker/ psychologist
- Resource materials need to be developed for use by various professionals
 - <u>http://www.nri-inc.org/focus-areas/performance-measurement/clinical-oversight/tobacco-cessation/clinical-action/</u>
- Peer providers and mental health aides appear to be an under-used resource



GOLDEN THREAD DOCUMENTATION

- Screening/Assessment completed by multiple staff, each recorded in a separate place in the medical record
- Practical counseling offered by only 36% of facilities immediately after the screen.
 - Practical counseling includes recognizing danger situations, developing coping skills, and providing basic information about quitting.
- Only 14% of facilities reported adding the Tobacco Status to the Problem Assessment
- Various interventions are used, but there does not seem to be a clear leader

Psychological Treatment Approaches	
Psychoeducation for tobacco use impact/ cessation	62.5%
Stress Management Skills Training	48.4%
Relapse prevention skills training	45.3%
Motivational Interviewing	43.8%
Brief therapy	35.9%
Tobacco specific self-help resources (audio/video/peer groups/ apps/ books/ workbooks)	29.7%
CBT/DBT	21.9%
Peer support counseling	12.5%



CLINICAL ACTIONS RESOURCES

Tobacco Cessation Resources for Psychiatric Hospitals

Incorporate these tobacco cessation strategies into wellness approaches to promote recovery selfmanagement and improved discharge referrals with adults receiving in-patient psychiatric services

SCREENING & ASSESSMENT

The S.A's: Brief Intervention Role Play The S.A's and S.R's clinical prompt sheet Brief interventions for tobacco use Conversations for Change: Demonstration videos Tobacco Cessation Best Practices: Motivational Interviewing

PLANNING TO QUIT

Overcomins Tobacco Addiction How to Ouit Smoking 8 Stees to Ouitting for Good Start Your Ouitting Journey Today! 13 Best Ouit Smoking Tigs Medications for Tobacco Cessation Know the real cost of cigarettes CDC Ouit Guide Drug Interactions with Tobacco Smoke This Free Life for LGBTO, young adults



E RECOVERY SELF-MANAGEMENT

You Can Oult Smoking: Here's How Tools & Tios To Outtine Quit Smokine and Yaping Tools 10 ways to resist tobarco craving Quit-Smoking Tios from Behavioral Health Patients 6 Tips for resolut trying to guit smoking How to use NRT medications.

DISCHARGE SUPPORT

CMS Inpatient Psychiatric Outcome Tobacco Measures SHARE Approach Workshop Curriculum Personalized Out Sheet Build Your Own Ouit Plan Virtual natient training scenarios Every Try Counts are 1-800- OUT-NOW

TOOLKITS & CURRICULUM (%)

Tobacco Free Living in Psychiatric Settings Dimensions Toolkit for Healthcare/ Behavioral Health CDC Provider Education & Training, Healthcare Provider Resources Native American Action Plan For Pregnant and Post-partum Women NYC Quits, Reforchunge: Clinician Assisted Tobacco Cessation A Toolkit for Substance Abuse Treatment Providers NiH Report on Tobacco and E-cisterettes Toolkits and Clinical Guides for Tobacco Cessation SMI Advisor Knowledes Base Smokine Cessation Leadership Center TIP 35 Enhancing Motivation for Change, Revised



FOR MORE INFORMATION VISIT WWW.NRI-INC.ORG/FOCUS-AREAS/PERFORMANCE-MEASUREMENT/CLINICAL-OVERSIGHT/

https://www.nri-inc.org/focus-areas/performance-measurement/clinical-oversight/tobacco-cessation/clinical-action/





IMPROVEMENT STRATEGIES FOR INPATIENT PSYCHIATRIC FACILITIES



Lucille Schacht, PhD, CPHQ Senior Director Performance and Quality Improvement Lschacht@nri-inc.org



CHANGE MANAGEMENT

Tobacco Cessation Change Process

Clinicians endeavor to extend individual client's tobacco cessation gains during inpatient psychiatric hospitalization to ongoing recovery management. Change management theory, coupled with action steps, enable organizational change that can lead quality efforts to have a greater and more enduring impact on tobacco cessation outcomes beyond the point of discharge. Change CDC Tobacco Cessation Change Package NIDA Blending Initiative infographic MI: Supervisory Curriculum Refreeze Unfreeze Rx for Change Stage Based Smoking Interventions CDC: MH, Tobacco Use and Quitting OK Cessation Promising Practices NRI Performance Measurement Guidance KS Tobacco Org Readiness Assessment Pharmacist Prescribing Map OK Peer Specialists Enhance Workforce PMI Change Readiness **OK Funds Tobacco Interventions** NASMHPD Tobacco Cessation Policy Tobacco Cessation Clinical Actions Smoking Cessation Leadership Center State Psych Hospitals Smoke-free Policy 2008 **Change Models** State Psych Hospital Smoke-free Policy 2012 State by State Tobacco Status HHS 2008 Tobacco Use Treatment Guidelines Lewin Change Model Tobacco Control Policies 2020 Kotter Change Model TTM and Stages of Change Model Credit: Lewis Change Model, 1947

FOR MORE INFORMATION VISIT WWW.NRI-INC.ORG/FOCUS-AREAS/PERFORMANCE-MEASUREMENT/CLINICAL-OVERSIGHT/TOBACCO-CESSATION/CHANGE-MANAGEMENT

http://www.nri-inc.org/focus-areas/performance-measurement/clinical-oversight/tobacco-cessation/changemanagement/

Funding support for this project was provided by the Smoking Cossation Leadership Center





Establishing vision

- Clear vision of the future AND strategies for producing needed changes
- Providing the "why now" case and defining why "status quo is not good enough"
- Connecting new behaviors with organizational success
- Dealing directly with resistance

• Aligning people

- Communicating direction in words and deeds
- Inclusive of all staff who need to be "on-board" with new vision, especially people with power (title, SME, relationships)
- Creating teams/coalitions that agree with the validity of the vision serve as role models for other staff

Motivating and inspiring

- Encourage outside-the-box thinking and approaches
- Energizing staff to overcome barriers to change
- Removing obstacles (outdated policies/procedures)
- Reinforcing/acknowledging basic human needs (rewards, recognition, respect)



- Transition record provided to patient and next provider is tobacco use clearly identified as an issue?
 - 86% of facilities indicate it is documented
 - 62% of facilities recommend continued evidence-based counseling for at least one month after discharge
 - 43% of facilities provide prescription for tobacco cessation medication
 - 59% of facilities provide take-home medications (most commonly for only 7 days)
- Most common referral are the Quit Line (55%) and community mental health center (39%)



SYSTEM CHANGE INTERVENTIONS

- Conduct a self-assessment of your readiness for change (see example from Kansas)
- Provide clear evidence of current risk (prevalence of tobacco use) and effective interventions (see example from Georgia)
- Couple efforts that easily align, for example a change in the EHR documentation and adding resources as visible options for the clinical staff (eg Quit Line added to the Discharge Plan) (see example from Illinois)
- Imbed in a whole health or integrated care re-visioning of the department (see example from Oklahoma)



BENCHMARK OR GOAL

- Benchmark, by definition, is the best performer on a measure
 - Perfect performance is not required
 - Assumed that the processes used to achieve the best performer status can be clearly stated and replicated
- Goal is a value statement of the desired target value on a measure
 - Perfect performance is not required
 - Assumed that goal is achievable within a specified time period
- Measuring achievement of benchmark or goal
 - Incremental movement
 - Within X% of benchmark
- Monitor impact of local change and share results so that other providers can benefit from your experience this is how we build knowledge base on best practices



RECOMMENDATIONS

- ✓While more disciplines are involved in the "interventions," there could be more use of peer providers, Art/Rec/OT, and mental health aide/tech
- Request for evidence-based tobacco cessation programs and treatment intervention templates. Evidence of effectiveness of different programs needs to be accessible to the clinical staff. Treatment templates may also support mental health tech, peer support, and other paraprofessionals to deliver effective services.
- ✓ Peer support may be under-utilized. More needs to be known.
- ✓Tobacco cessation needs to be a priority item. Leadership commitment needs to support staff behavior change (including supporting staff Quit attempts), training in specific tools and techniques, optimizing mental health aides/techs and peer support to provide cessation interventions, collaboration with community providers and state Quit Lines to understand the specific needs of these patients.





- Look at how resources are packaged for uptake across a range of clinical staff. Do not limit to prescribers as other clinical staff have regular interactions with patients and these are excellent opportunities for engagement.
 - See clinical actions and change management info-graphs developed by NRI that bring together resources from a number of experts
 - <u>http://www.nri-inc.org/focus-areas/performance-measurement/clinical-oversight/tobacco-cessation/change-management/</u>
- Teach-back model. Stress management. Relapse triggers and response. Yoga. Meditation. Training patients to use medication differently post discharge than while a patient. Contact calls and appointment setting prior to discharge with Quit Lines.
- Commit to action (change) that is well defined and measured. Share the learning. There is no single best practice.
 We need research to systematically track interventions/ approaches used and if this impacts willingness to continue Tobacco cessation post discharge and ongoing cessation after IPF stay.



LEARN MORE

https://www.nri-inc.org/focus-areas/performancemeasurement/clinical-oversight/tobacco-cessation/





• Submit questions via the 'Ask a Question' box







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Free 1-800 QUIT NOW cards





✓ Refer your clients to cessation services





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- Visit <u>CABHWI.ucsf.edu</u> for more information



Post Webinar Information

- You will receive the following in our post webinar email:
 - Webinar recording
 - PDF of the presentation slides
 - Instructions on how to claim FREE CME/CEUs
 - Information on certificates of attendance
 - Other resources as needed
- All of this information will be posted to our website!



Save the Date!

SCLC's next live webinar is co-hosted by ATTUD and will be on, *e-Cigarettes* with Dr. Nancy Rigotti

- Monday, June 21, 2021, 1-2 pm EDT
- · Registration will open soon!

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Contact us for technical assistance

- Visit us online at **smokingcessationleadership.ucsf.edu**
- Call us toll-free at 877-509-3786
- Copy and paste the post webinar survey link: <u>https://ucsf.co1.qualtrics.com/jfe/form/SV_6RMsYyNPdS1xr2m_</u>into your browser to complete the evaluation



National Center of Excellence for Tobacco-Free Recovery





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